Notice of References Cited Application/Control No. O9/613,153 Examiner Yehdega Retta Applicant(s)/Patent Under Reexamination FRIED, DAVID R. Art Unit Page 1 of 4

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-4,376,978	03-1983	Musmanno, Thomas E.	705/36
*	В	US-4,953,085	08-1990	Atkins, Charles A.	705/36
*	С	US-5,132,899	07-1992	Fox, Philip J.	705/36
*	D	US-5,806,048	09-1998	Kiron et al.	705/36
*	Е	US-5,761,442	06-1998	Barr et al.	705/36
	F	US-			
	G	US-			
	Ι	US-			
	1	US-			
	J.	US-			
	К	US-			
	L	US-			
	М	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р			44		
	Q					
	R					
	s					
	Т			\		

NON-PATENT DOCUMENTS

		The state of the s
*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U	CORPORATE STOCK BUYBACK ARE SOARING Excahnge Seeks To Make Put' Options Part of the Strategy, Treasure Manager's Report; Feb. 16, 1996: Vol. 4 Issue: 4
*	V	ACQUISITIONS MAY MAKE MORE SENSE THAT SHARE BUYBACKS IN CURRENT MARKET, ANALYSTS SAY, Petroleum Finance Week; Feb. 16, 1998; Vol: 6 Issue: 7
*	w	Hedging tools provide portfolio security blanket, Koflowitz, L. Wall Street Computer Review; Mar. 01, 1989; Vol. 6 Issue: 6
*	х	Psstwant a hot stock tip? Bet insiders' info on the web, Mark Roberti, HomePC; 10/1/96; Issue: page 174

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited Application/Control No. O9/613,153 Examiner Yehdega Retta Applicant(s)/Patent Under Reexamination FRIED, DAVID R. Page 2 of 4

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	Н	US-			
	1	US-			
	J	US-			
	к	US-			
	L	US-			
	М	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N				-	
	0					
	Р					
	Q					
	R					
	s					
	Т			*		

NON-PATENT DOCUMENTS

		NON-I ATENI DOCUMENTO
*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U	Targeted repurchases and common stock returns, Mikkelson, W.H.; Ruback, R.S., Rand Journal of Exonomics; 12/1/91; vol: 2 Issue: 4 Page: 544-61.
*	٧	Nations Funds Offers Two New Managed Index Funds; Combining Active and Passive Portofio Management, Business Editors Business Wire; 10/97.
*	w	How to Find a Stock That's Headed for Trouble, Business Week; New York; Dec 30, 1996; Weiss, Gray.
*	×	Stock Buyback Programs-Stocks, Michael Griffs, 4/06/98http://stocks.miminingco.com/library/weekTMog=56669803893354&Mint=56669803893354

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited Application/Control No. O9/613,153 Examiner Yehdega Retta Applicant(s)/Patent Under Reexamination FRIED, DAVID R. Page 3 of 4

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	С	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	Н	US-			
	Ι	US-			
	Ĺ	US-			
	К	US-			
	L	US-			
	М	US-			

FOREIGN PATENT DOCUMENTS

	TOTALISM TATEM DOCUMENTO					
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	Q					
	R					
	s					
	Т					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)						
*	U	"The New Issues Puzzle, " Tim Loughran and Jay R. Ritter, The Journal of Finance, Vol. I. No. 1, March 1995, pages 23-51.						
*	٧	'Market Underreaction to Open Market Share Repurchase, Working Paper No. 106, February 1995, David Ikenberry, Josef Lakonishok, and Theo Vermaelon.						
*	w	"What Works on Wall Street, A Guide to the Best-performing Investment Strategies of All Time," James P. O'Shaughnessy, pages 105-122.						
*	x	"Commentary: Buybacks Make News, But Do They Make Sense?", Nanette Byrness, Business Week, August 12, 1996, pp 1-2						

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited Application/Control No. 09/613,153 Examiner Yehdega Retta Applicant(s)/Patent Under Reexamination FRIED, DAVID R. Art Unit Page 4 of 4

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	Н	US-			
	1	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					•
	Р					
	Q					
	R					
	s					
	Т					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U	"Stock Buybacks Arent' Always Good Sign for Investors, "Wall Street Journal, 7/3/97 Suzanne McGee and Greg Ip.
*	٧	"The Big Three's Dilemma," Alex Taylor III, FORTUNE, March 16, 1998.
*	w	"The Hidden Meanings of Stock Buybacks," Ray Bartkus, FORTUNE, September 8, 1997.
*	x	Equity portfolio Investment Computing (EPIC), Ford Investment Services, Inc. 1997

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.